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PTO/SB/97 (09-04)
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Included herewith are:

- (1) Certificate of Transmission (1 sheet)
- (2) Information Disclosure Statement and PTO/SB/08a form (4 sheets)
- (3) 6 Cited References
- (4) Postcard

June D. Such	
Signature	
Daniel D. Sierchio (1900)8-39)
Typed or printed name of person signi	ing Certificate
53,591	973-597-2500
Registration Number, if applicable	Telephone Number

Note: Each paper must have its own certificate of transmission, or this certificate Must identify each submitted paper.

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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



In re Application of:)	
	•	Examiner: N/A
William GADDY et al.	·)	
	•	TC/Art Unit: N/A
Application No.: 10/590,781)	
	:	
Filed: August 25, 2006)	
	•	
For: SYSTEM AND METHOD FOR)	
PEER-TO-PEER CONNECTION OF	•	•
CLIENTS BEHIND SYMMETRIC)	
FIREWALLS	•	November 28, 2006

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed PTO/SB/08a form. Copies of the cited U.S. published applications are not enclosed. Copies of the other cited references, however, are enclosed.

It is respectfully requested that the PTO/SB/08a form be initialed and returned, indicating that the cited documents have been considered.

It is believed that no fee is due. However, if a fee is deemed necessary, the Commissioner is authorized to charge any required fees to Deposit Account 501358. A copy of this fee authorization is submitted for accounting purposes.

Applicants' undersigned attorney may be reached by telephone at (973) 597-2500. All correspondence should continue to be directed to our address listed below.

Respectfully submitted,

Daniel D. Sierchio

Attorney for Applicants Registration No. 53,591

DOCKET ADMINISTRATOR
Lowenstein Sandler PC
65 Livingston Avenue
Roseland, NJ 07068

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Substitute for form 1449A/PTO

DEC 0 4 2006

INFORMATION DISCLOSURES STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known					
Application Number	10/590,781				
Filing Date	8/25/06				
First Named Inventor	William GADDY et al.	**************************************			
Art Unit	N/A				
Examiner Name	N/A				
Attorney Docket Number	19008-39				

U.S.PATENT DOCUMENTS						
Examiner	Cite	Document Number Number-Kind Code ^{2 (d known})	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where	
nitials*	No. ¹		MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
	1	US-6,978,383	12-20-05	BOYLE et al.		
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		FOREI	GN PATENT DOCL	IMENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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Examiner	·	Date	-	
Signature		Considered		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sub	stitute for form 1449B/PTO			Application Number	10/590,781	
IN	FORMATION DISC	LC	SURE	Filing Date	8/25/06	
STATEMENT BY APPLICANT			ICANT	First Named Inventor	William GADDY et al.	
	(Use as many sheets as neces	ssar	y)	Art Unit	N/A	
				Examiner Name	N/A	
Sheet	2	of	2	Attorney Docket Number	19008-39	

		NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. ¹								
	1	Section 5.1 of "RFC 3027 - Protocol Complications with the IP Network Address Translator" (January 12, 2001).							
	2	Section 5.1 of "draft-ietf-nat-protocol-complications-06.txt" (October 10, 2000).							
	3	Section 5.1 of "draft-ietf-nat-protocol-complications-04.txt" (July 18, 2000).							
	4	Section 3.1 of "draft-ietf-nat-protocol-complications-01.txt" (June 25, 1999).							
	5	"NAT and peer-to-peer networking" (July, 17 1999).							
USENET comp.dcom.modems.cable, comp.os.linux.networking "MediaOne - great cable modems, but the don't like Linux " (November 8, 1998 to, November 17, 1998).									
	1								
Examiner Signature		Date Considered							

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

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